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APPLICANTS: Richard D. Cappels, Sr.

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FEB 27 2001

SERIAL NO.: 08/900,964

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FILED: July 25, 1997

Technology Center 2100

TITLE: System and Method for Generating High-Luminance  
Windows on a Computer Display Device

EXAMINER: J. Nguyen

GROUP ART UNIT: 2778

ATTY.DKT.NO.: P2106/757

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08A listing several references, copies of which are enclosed. In addition, enclosed is a list of co-pending patent applications that may be related. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.



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No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

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Technology Center 2100

Dated: 02-16-2001

By: David Lewis

David Lewis, Reg. No. 33,101

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David Lewis

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PTO/SB/21 (08-00)

Technology Center 2600 Approved for use through 10/31/2002. OMB 0651-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	08/900,964
		Filing Date	July 25, 1997
		First Named Inventor	Richard D. Cappels, Sr.
		Group Art Unit	2778
		Examiner Name	J. Nguyen
Total Number of Pages in This Submission	11	Attorney Docket Number	P2106/757

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; List of Co-Pending Applications; References; Form PTO/SB/08A
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	
Date	February 16, 2001

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Signature	
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# FEE TRANSMITTAL

## for FY 2001

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**TOTAL AMOUNT OF PAYMENT** (\$180.00)

Complete if Known		
Technology Center 2100	Application Number	08/900,964
	Filing Date	July 25, 1997
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text" value="06-0600"/></p> <p>Deposit Account Name <input type="text" value="Carr &amp; Ferrell, LLP"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p><b>FEE CALCULATION</b></p> <p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td><input type="text"/></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td><input type="text"/></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td><input type="text"/></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td><input type="text"/></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td><input type="text"/></td></tr> </tbody> </table> <p><b>SUBTOTAL (1) (\$0)</b></p> <p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td><input type="text"/></td><td>-20**</td><td>= <input type="text"/></td><td>X <input type="text"/> = <input type="text"/></td></tr> <tr><td><input type="text"/></td><td>-3***</td><td>= <input type="text"/></td><td>X <input type="text"/> = <input type="text"/></td></tr> <tr><td colspan="3"></td><td>= <input type="text"/></td></tr> </tbody> </table> <p>Large Entity Small Entity</p> <table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td><input type="text"/></td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td><input type="text"/></td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td><input type="text"/></td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims over original patent</td><td><input type="text"/></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td><input type="text"/></td></tr> </tbody> </table> <p><b>SUBTOTAL (2) (\$0)</b></p> <p>*or number previously paid, if greater; For Reissues, see above</p>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	<input type="text"/>	106	320	206	160	Design filing fee	<input type="text"/>	107	490	207	245	Plant filing fee	<input type="text"/>	108	710	208	355	Reissue filing fee	<input type="text"/>	114	150	214	75	Provisional filing fee	<input type="text"/>	Total Claims	Extra Claims	Fee from below	Fee Paid	<input type="text"/>	-20**	= <input type="text"/>	X <input type="text"/> = <input type="text"/>	<input type="text"/>	-3***	= <input type="text"/>	X <input type="text"/> = <input type="text"/>				= <input type="text"/>	Fee Code (\$)	Fee Code (\$)	Fee Description	103	18	203	9	Claims in excess of 20	<input type="text"/>	102	80	202	40	Independent claims in excess of 3	<input type="text"/>	104	270	204	135	Multiple dependent claim, if not paid	<input type="text"/>	109	80	209	40	** Reissue independent claims over original patent	<input type="text"/>	110	18	210	9	** Reissue claims in excess of 20 and over original patent	<input type="text"/>																																																																			
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\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$180)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	David Lewis	Registration No. (Attorney/Agent)	33,101	Telephone (650) 812-3412
Signature		Date	February 16, 2001	

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